

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



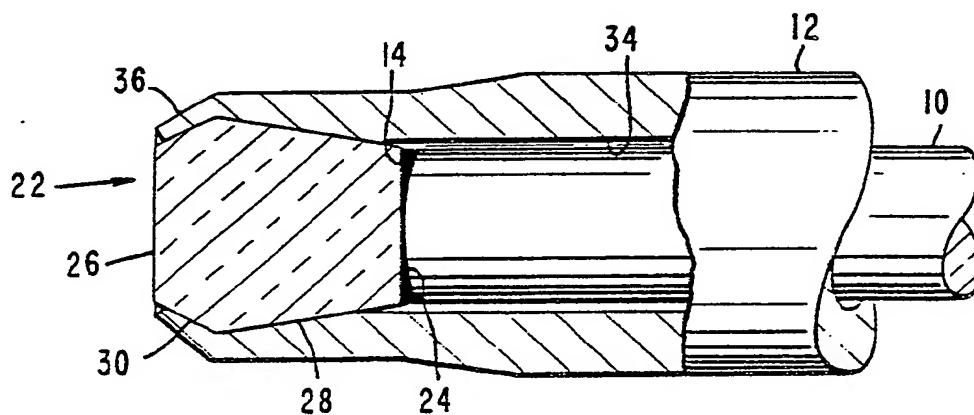
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification³ : G02B 7/26; A61N 5/06	A1	(11) International Publication Number: WO 82/ 02604 (43) International Publication Date: 5 August 1982 (05.08.82)
(21) International Application Number: PCT/US82/00048 (22) International Filing Date: 15 January 1982 (15.01.82) (31) Priority Application Number: 226,669 (32) Priority Date: 21 January 1981 (21.01.81) (33) Priority Country: US (71) Applicant: HUGHES AIRCRAFT COMPANY [US/US]; Centinela Avenue and Teale Streets, Culver City, CA 90230 (US). (72) Inventor: MYER, Jon, H. ; 22931 Gershwin Drive, Woodland Hills, CA 91364 (US).		(74) Agents: MACALLISTER, William, H. et al.; P. O. Box 90515, Los Angeles, CA 90009 (US). (81) Designated States: DE (European patent), FR (European patent), GB (European patent), JP, NL (European patent). Published <i>With international search report.</i>

(54) Title: MINIATURE WINDOW FOR OPTICAL FIBER

(57) Abstract

Fiber optic window (22) is conical and is pressed into the tapered open end bore of sheath (12) which contains fiber optic guide (10) for sealing the sheath. Malleable deformation of the sheath provides hermetic sealing. Swaged end of lip portion (36) retains window in place.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	KP	Democratic People's Republic of Korea
AU	Australia	LI	Liechtenstein
BE	Belgium	LK	Sri Lanka
BR	Brazil	LU	Luxembourg
CF	Central African Republic	MC	Monaco
CG	Congo	MG	Madagascar
CH	Switzerland	MW	Malawi
CM	Cameroon	NL	Netherlands
DE	Germany, Federal Republic of	NO	Norway
DK	Denmark	RO	Romania
FI	Finland	SE	Sweden
FR	France	SN	Senegal
GA	Gabon	SU	Soviet Union
GB	United Kingdom	TD	Chad
HU	Hungary	TG	Togo
JP	Japan	US	United States of America

-1-

MINIATURE WINDOW FOR OPTICAL FIBER

1 BACKGROUND OF THE INVENTION

This invention is directed to a miniature window particularly useful for the hermetic closure of the end of a protective sheath around an optical fiber.

5 U.S. Patent 4,170,997 to Douglas A. Pinnow and Anthony L. Gentile is directed to a medical laser instrument which employs a flexible fiber optical endoscope which is suitable for application of long wavelength, high power laser radiation for surgical
10 purposes inside the human body. To accomplish this purpose, the optical fiber has a sheath which prevents contact between the body fluid and the fiber optic waveguide material which is capable of infrared trans-
15 mission. When non-refractory optical fibers are used to transmit long wave infrared radiation, it is often necessary to protect them from a hostile environment. For example, fibers of alkali halides, while excellent transmitters of infrared radiation, are very soluble
20 in water and easily corroded by moisture. It is thus necessary to protect such fibers against body fluids. Alternate fiber materials such as thallium halide compounds are toxic, and thus the body and the remainder of the exterior environment must be protected from exposure to the noxious fiber material. In either case, it becomes
25 necessary to surround the fiber with a hermetic protective sheath while providing a means for the radiation to enter or emerge at the end of the fiber.



1 SUMMARY OF THE INVENTION

 In order to aid in the understanding of this invention it can be stated in essentially summary form that it is directed to a miniature window plug which
5 is sealed in the end of a tubular malleable sheath for an optical fiber. The window has a conical outer surface which causes malleable outward deformation of the sheath during insertion of the window into
10 the end of the sheath to provide hermetic sealing of the internal volume of the bore of the sheath. The window is transparent to the optical frequency employed.

 It is thus an object of this invention to provide a hermetically sealed miniature window and
15 particularly a window assembly suitable for use with very small optic fiber malleable sheaths.

 It is another object to provide a method for the hermetic installation of a substantially transparent window plug in the end opening of an optical fiber
20 malleable sheath.

 Other objects and advantages of this invention will become apparent from a study of the following portion of the specification, the claims and the attached drawings.

25 BRIEF DESCRIPTION OF THE DRAWINGS

 FIG. 1 is a side elevational view of an optical fiber sheath with a miniature window plug therein in accordance with this invention, with parts broken away and parts taken in central section.

30 FIG. 2 is a side elevational view of the structure before insertion of the window plug into the end of the bore of the optical fiber sheath.

 FIG. 3 is a side elevational view, with parts broken away and parts taken in section of the end
35 of the fiber optic sheath, after insertion of the miniature window therein and before final sealing.

1 DESCRIPTION OF THE PREFERRED EMBODIMENT

 In the preferred embodiment, optical fiber 10
is substantially transmissive to long wave infrared
radiation, and many such fibers are soluble in water,
5 easily corroded by moisture or are toxic. Examples
of suitable alkali halide fibers which are soluble
include sodium chloride, potassium chloride and potassium
iodide. Examples of toxic fibers are thallium halides,
including thallium bromoiodide also known as KRS-5
10 and thallium bromide. In order to protect the optical
fiber 10 against its environment and to protect the
environment against the optical fiber 10, sheath 12
is provided.

 Sheath 12 is a malleable tubular metallic sheath,
15 for example, a 24 gauge stainless steel or platinum syringe
needle tube. Optical fiber 10 is placed therein, with its
end 14 recessed with respect to the end 16 of the sheath.

 The external surface of sheath 12 is tapered
down toward the end 16 to form a right conical surface
18 about the central axis 20 of the entire structure.
20 The conical shaping of the end of the sheath reduces
the wall thickness but stops short of forming a knife
edge at end 16.

 Window 22 is a structure which is transparent
25 to the wavelength of interest. For infrared radiation,
window 22 can be made of diamond, germanium, zinc
selenide or silicon. Window 22 is also a surface
of revolution about the axis 20. It has inner and
outer planar ends 24 and 26, both normal to the axis
30 20. The outer surface of window 22 is comprised
of a first right conical surface 28 and a second
right conical surface 30. Both of these right conical
surfaces are surfaces of revolution about axis 20.
The first conical surface 28 is at the same conical
35 angle as the original conical surface 18 on the exterior
of sheath 12, as seen in FIG. 2. The truncated diameter

1 of conical surface 28 adjacent the inner planar end
24 is the same as the diameter of the bore 34 of
sheath 12.

As is seen in FIGS. 2 and 3, window 22 is first
5 placed adjacent the open end of sheath 12 and then
the conical surface 28 is forcibly inserted into
the open end of sheath 12. Thrust of the window 22
into the malleable sheath 12 causes expanding deforma-
tion of the sheath so that when the window is fully
10 inserted as is illustrated in FIG. 3, the entire
conical surface 28 is in hermetic sealing contact
with the sheath. The residual deformation stress
causes continued compression of the sheath onto the
window on conical surface 28.

15 In order to retain window 22 in place, the
end portion or lip 36 of the sheath extending over the
surface 30 is swaged down onto the surface 30. With
the window deforming the bore of the sheath, a hermetic
seal is formed, and the window is locked in place
20 by the inwardly swaged end portion of lip 36.

As an aid toward pressing window 22 into the bore,
the total included angle of conical surface 28 was chosen
to be 20°, but alternate angles can be employed, as
required by the malleability characteristics of sheath 12.

25 As stated above, suitable materials for window
22 include diamond, germanium and zinc selenide and
silicon. These materials have essentially no solubility
in fluids with which they might come in contact in
a human body. All these materials have good trans-
30 parency in the long wavelength part of the infrared
spectrum. All these materials have a large
refractive index and thus it is advantageous to
provide the window 22 with an anti-reflection coating
to maximize transmission. The coating on facet 24 may be
35 any standard coating. However, the coating on facet 26
is exposed to body fluids and must be inert. A multi-layer

1 coating such as barium fluoride-zinc selenide will be
reasonably resistant to such exposure.

5 This invention has been described in its presently
contemplated best mode and it is clear that it is
susceptible to numerous modifications, modes and
embodiments within the ability of those skilled in
the art and without the exercise of the inventive
faculty. Accordingly, the scope of this invention
is defined by the scope of the following claims.



CLAIMSWhat is Claimed is:

- 1 1. An optical fiber assembly comprising:
 an elongated optical fiber having ends;
 a sheath surrounding said optical fiber
adjacent at least one end thereof, said sheath being
5 tubular with a bore therein and being made of malleable
material, said sheath bore being divergent adjacent the
end of said sheath; and
 a window within said sheath adjacent said
end of said optical fiber, said window having a
10 convergent surface engaged against and within said
divergent surface of said sheath bore to plug the end
of said tubular sheath, said window being at least
partially transparent to radiation of the same wave-
length to which said optical fiber is at least partially
15 transparent.
- 1 2. The optical fiber assembly of Claim 1 wherein
said window and said fiber are at least partially
transparent to radiation in the infrared wavelength
band.
- 1 3. The fiber optic assembly of Claim 1 wherein
said divergent bore of said sheath and said convergent
surface of said window are conical surfaces of
revolution about an axis coaxial with the axis of the
5 fiber.
- 1 4. The fiber optic assembly of Claim 3 wherein
said axis is substantially centrally positioned through
said optical fiber and said sheath.

1 5. The optical fiber assembly of Claim 4 wherein
said window and said fiber are at least partially
transparent to infrared in the long wavelength infrared
band ranging from 8 to 30 micrometers wavelength.

1 6. The fiber optic assembly of Claim 4 wherein
the divergent bore of said sheath is engaged on the
convergent external surface of said window for retaining
said window within said sheath and for retaining
5 said convergent surface of said window against
said divergent bore surface within said sheath.

1 7. The fiber optic assembly of Claim 1 wherein
said sheath is engaged on said window to retain said
window within said sheath and retain said convergent
surface of said window against said divergent bore
5 surface within said sheath.

1 8. The fiber optic assembly of Claim 7 wherein
said convergent surface on said window is conical
and said window also has a divergent conical surface
thereon, said sheath engaging on said divergent surface
5 to retain said window within said sheath.

1 9. The method of attaching a window plug having
a divergent surface within the end of a malleable
tubular sheath having an optical fiber therein comprising
the step of:

5 pressing the window conical end first into
the open bore of said tubular sheath so that the conical
surface on said window malleably outwardly forms the
sheath to produce a resilient hermetic sealing engagement
of said sheath onto said window.

- 1 10. The method of Claim 9 further including the
step of plastically deforming the overhanging lip of
said tubular sheath around the periphery of the window
outwardly of said divergent surface to retain and lock
5 the window within the sheath.



1/1

Fig. 2.

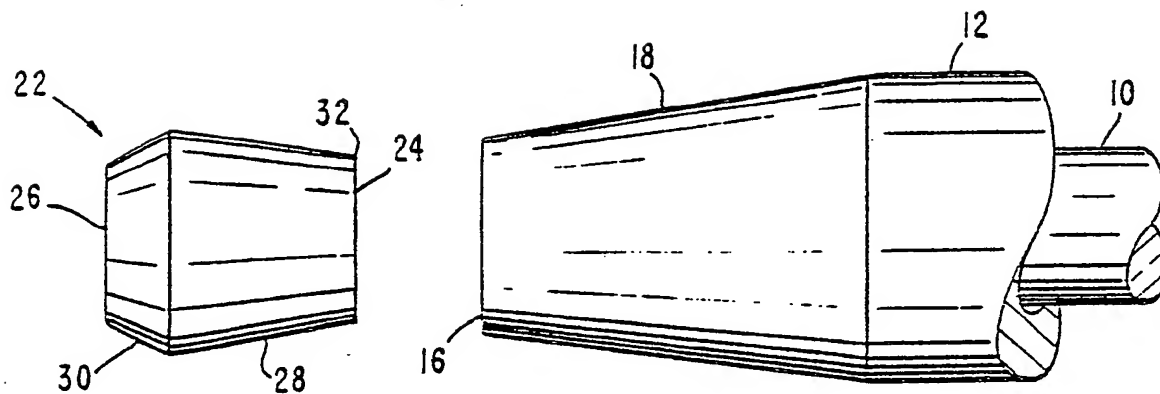


Fig. 3.

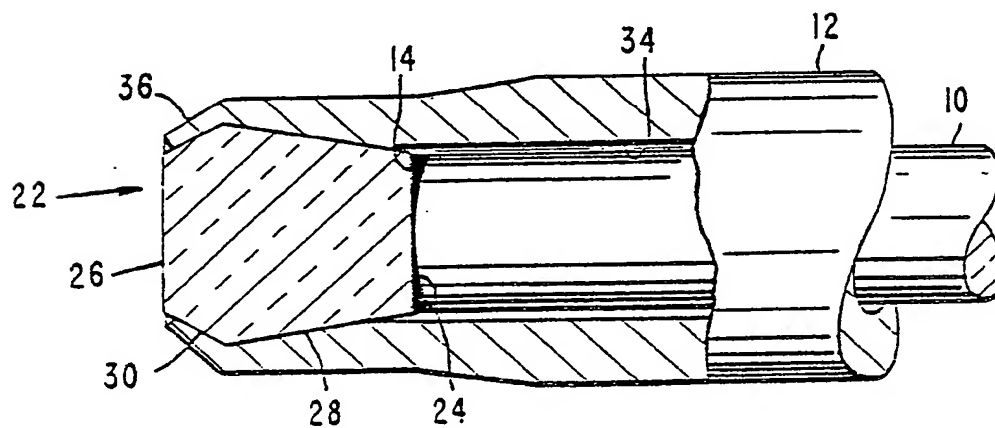
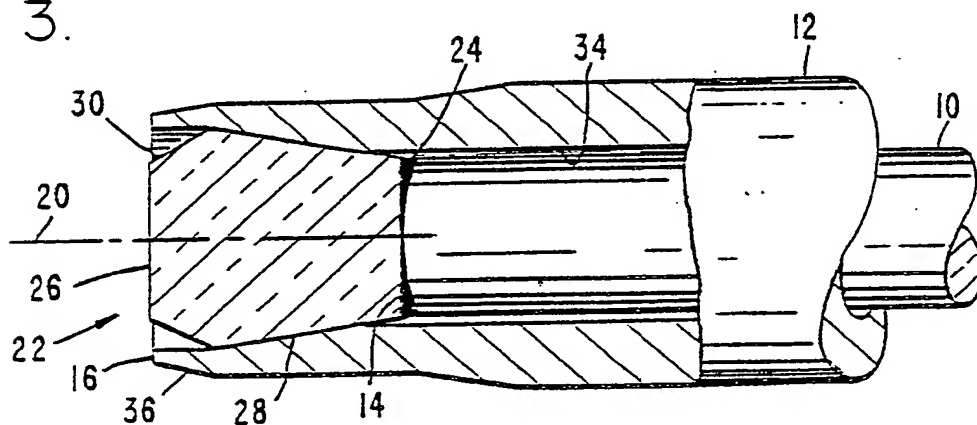


Fig. 1.



INTERNATIONAL SEARCH REPORT

International Application No PCT/US82/00048

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ³ According to International Patent Classification (IPC) or to both National Classification and IPC INT. CL. 3 G02B 7/26; A61N 5/06 U.S. CL. 350/96.20; 128/398																													
II. FIELDS SEARCHED <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin: 5px 0;">Minimum Documentation Searched ⁴</div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border-bottom: 1px solid black;">Classification System</th> <th style="border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="text-align: center; vertical-align: middle; padding: 10px;">U.S.</td> <td style="padding: 10px;">128/4,5,6,303.1,395,396,397,398; 350/96.20,96.25,96.26</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin: 5px 0;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁵</div>			Classification System	Classification Symbols	U.S.	128/4,5,6,303.1,395,396,397,398; 350/96.20,96.25,96.26																							
Classification System	Classification Symbols																												
U.S.	128/4,5,6,303.1,395,396,397,398; 350/96.20,96.25,96.26																												
III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴ <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%; border-bottom: 1px solid black;">Category ⁶</th> <th style="width: 70%; border-bottom: 1px solid black;">Citation of Document, ¹⁵ with indication, where appropriate, of the relevant passages ¹⁷</th> <th style="width: 20%; border-bottom: 1px solid black;">Relevant to Claim No. ¹⁸</th> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">X</td> <td style="padding: 5px;">GB, A, 1,234,958, PUBLISHED 09 JUNE 1971, BRET, SEE ESPECIALLY ELEMENTS 2 AND 2'</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-10</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">A</td> <td style="padding: 5px;">US, A, 3,809,072, PUBLISHED 07 MAY 1974, ERSEK ET AL.</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-10</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">A</td> <td style="padding: 5px;">US, A, 4,170,997, PUBLISHED 16 OCTOBER 1979, PINNOW ET AL.</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-10</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">X</td> <td style="padding: 5px;">GB, A, 2,028,530, PUBLISHED 05 MARCH 1980, PRAGNELL ET AL.</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-8</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">P,X</td> <td style="padding: 5px;">EP, A, 0,025,969, PUBLISHED 01 APRIL 1981, KOYATA</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-8</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">P,X</td> <td style="padding: 5px;">US, A, 4,266,534, PUBLISHED 12 MAY 1981, OGAWA</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-8</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">P,A</td> <td style="padding: 5px;">US, A, 4,266,549, PUBLISHED 12 MAY 1981, KIMURA</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-10</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">P,X</td> <td style="padding: 5px;">US, A, 4,273,109, PUBLISHED 16 JUNE 1981, ENDERBY</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-10</td> </tr> </table>			Category ⁶	Citation of Document, ¹⁵ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸	X	GB, A, 1,234,958, PUBLISHED 09 JUNE 1971, BRET, SEE ESPECIALLY ELEMENTS 2 AND 2'	1-10	A	US, A, 3,809,072, PUBLISHED 07 MAY 1974, ERSEK ET AL.	1-10	A	US, A, 4,170,997, PUBLISHED 16 OCTOBER 1979, PINNOW ET AL.	1-10	X	GB, A, 2,028,530, PUBLISHED 05 MARCH 1980, PRAGNELL ET AL.	1-8	P,X	EP, A, 0,025,969, PUBLISHED 01 APRIL 1981, KOYATA	1-8	P,X	US, A, 4,266,534, PUBLISHED 12 MAY 1981, OGAWA	1-8	P,A	US, A, 4,266,549, PUBLISHED 12 MAY 1981, KIMURA	1-10	P,X	US, A, 4,273,109, PUBLISHED 16 JUNE 1981, ENDERBY	1-10
Category ⁶	Citation of Document, ¹⁵ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸																											
X	GB, A, 1,234,958, PUBLISHED 09 JUNE 1971, BRET, SEE ESPECIALLY ELEMENTS 2 AND 2'	1-10																											
A	US, A, 3,809,072, PUBLISHED 07 MAY 1974, ERSEK ET AL.	1-10																											
A	US, A, 4,170,997, PUBLISHED 16 OCTOBER 1979, PINNOW ET AL.	1-10																											
X	GB, A, 2,028,530, PUBLISHED 05 MARCH 1980, PRAGNELL ET AL.	1-8																											
P,X	EP, A, 0,025,969, PUBLISHED 01 APRIL 1981, KOYATA	1-8																											
P,X	US, A, 4,266,534, PUBLISHED 12 MAY 1981, OGAWA	1-8																											
P,A	US, A, 4,266,549, PUBLISHED 12 MAY 1981, KIMURA	1-10																											
P,X	US, A, 4,273,109, PUBLISHED 16 JUNE 1981, ENDERBY	1-10																											
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>¹⁹ Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document cited for special reason other than those referred to in the other categories</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> </div> <div style="width: 45%;"> <p>"P" document published prior to the international filing date but on or after the priority date claimed</p> <p>"T" later document published on or after the international filing date or priority date and not in conflict with the application, but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance</p> </div> </div>																													
IV. CERTIFICATION <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-bottom: 1px solid black; padding: 5px;"> Date of the Actual Completion of the International Search ¹ <div style="text-align: center;">05 MAY 1982</div> </td> <td style="width: 50%; border-bottom: 1px solid black; padding: 5px;"> Date of Mailing of this International Search Report ² <div style="text-align: center;">11 MAY 1982</div> </td> </tr> <tr> <td style="border-bottom: 1px solid black; padding: 5px;"> International Searching Authority ¹ <div style="text-align: center;">ISA/US</div> </td> <td style="border-bottom: 1px solid black; padding: 5px;"> Signature of Authorized Officer ¹⁰ <div style="text-align: center;">John D. Lee <i>John D. Lee</i></div> </td> </tr> </table>			Date of the Actual Completion of the International Search ¹ <div style="text-align: center;">05 MAY 1982</div>	Date of Mailing of this International Search Report ² <div style="text-align: center;">11 MAY 1982</div>	International Searching Authority ¹ <div style="text-align: center;">ISA/US</div>	Signature of Authorized Officer ¹⁰ <div style="text-align: center;">John D. Lee <i>John D. Lee</i></div>																							
Date of the Actual Completion of the International Search ¹ <div style="text-align: center;">05 MAY 1982</div>	Date of Mailing of this International Search Report ² <div style="text-align: center;">11 MAY 1982</div>																												
International Searching Authority ¹ <div style="text-align: center;">ISA/US</div>	Signature of Authorized Officer ¹⁰ <div style="text-align: center;">John D. Lee <i>John D. Lee</i></div>																												